

# United States District Court

## Southern District of Texas

Case Number: 05cv1847

### ATTACHMENT

Description:

- State Court Record  State Court Record Continued
- Administrative Record
- Document continued - Part 17 of \_\_\_\_\_
- Exhibit to: \_\_\_\_\_  
number(s) / letter(s) \_\_\_\_\_

Other: \_\_\_\_\_

\_\_\_\_\_

\_\_\_\_\_

1 Q. Do you remember when he was or if he  
2 has been discharged since October of '93?

3 A. No.

4 Q. Okay. I pass the witness, Your  
5 Honor.

6 CROSS EXAMINATION

7 BY MS. ALCALA:

8 Q. I gave you those records last night;  
9 isn't that right?

10 A. Yes.

11 Q. You and I never met. My name is Elsa  
12 Alcala. This is Don Smyth.

13 Other than last night, when your  
14 lawyers asked me for the records, that was the  
15 first time you and I ever saw each other; is  
16 that correct?

17 A. Yes.

18 Q. I guess you took those records home,  
19 you had an opportunity to read them?

20 A. Yes.

21 Q. All right. Isn't it more correct that  
22 the records reflect that in fact this defendant  
23 was released on February the 8th of 1994 from  
24 the psychiatric ward; is that correct?

25 A. Yes, I assume he was released.

1           Q.    Because he was not in the psychiatric  
2   ward when you saw him.

3           A.    Yes.

4           Q.    Okay. When you reviewed the records,  
5   isn't it true that a Dr. Arfa saw the defendant,  
6   Dr. Arfa, who works for MHMRA, saw the defendant  
7   in the psychiatric ward at the jail, and Dr.  
8   Arfa diagnosed him with malingering?

9           A.    Yes, I read that diagnosis.

10          Q.    And isn't it also true that Doctor  
11   Robashkin, who is a doctor for MHMRA, saw the  
12   defendant at the jail, and Doctor Robashkin  
13   diagnosed him as malingering?

14          A.    I believe that comment is on the  
15   records, too.

16          Q.    Isn't it also true that another  
17   doctor, a third doctor by the name of Melissa  
18   Ferguson, she saw the defendant at the jail, and  
19   she also works for MHMRA, and she diagnosed the  
20   defendant with malingering?

21          A.    I don't recall her diagnosis.

22          Q.    Let me show you the records, see if  
23   that helps you.

24          A.    Yes.

25          Q.    Isn't it true that Doctor Ferguson

1 said she highly suspected malingering, doesn't  
2 appear to be psychotic or suffering from an  
3 Axis I major depression disorder; is that right?

4 A. Yes, I did read that.

5 Q. Would it surprise you to know she  
6 would be willing to come in and testify he is in  
7 fact malingering?

8 MS. CRAWFORD: I object to that.

9 Calls for speculation on the part of this  
10 witness.

11 THE COURT: Overruled. You may answer  
12 the question.

13 A. No, I'm not surprised about that,  
14 although I did notice in the notes, which I  
15 hadn't seen, that he was highly abusive with the  
16 team when he was examined, would not give the  
17 information, the data. That would be a basis  
18 for conclusions.

19 Q. Fair enough. We'll get into that in  
20 just a minute, but right now I'm just trying to  
21 see what doctors agree with you, what doctors  
22 don't agree with you, if that's all right.

23 So, Doctor Ferguson thought that he  
24 was malingering?

25 A. Apparently, yes.

1           Q.    Okay.  And Doctor Stone, according to  
2 those records, another doctor, in fact he runs  
3 the MHMRA at the jail, he felt that he is  
4 malingering?

5           A.    Yes.

6           Q.    Okay.  And Doctor Stokes, a fifth  
7 doctor at MHMRA, diagnosed him as malingering?

8           A.    I don't remember the name, but I  
9 probably saw the note.

10          Q.    Do you want to look at the records?

11          A.    No, I don't need to look at them.

12          Q.    What about a Doctor Ubah, he also saw  
13 the defendant at the jail, MHMRA, and he  
14 diagnosed him as malingering.

15          A.    I probably saw it, but I don't recall  
16 the basis for that diagnosis.

17          Q.    Okay.  Well, actually, diagnosis was  
18 possible mild adjustment disorder, N.O.S.; is  
19 that right?

20          A.    Yes, that's what I am reading.

21          Q.    Okay.  What does N.O.S. mean?

22          A.    Actually it's sort of a provisional  
23 diagnosis, it's not definitive or definite.

24          Q.    Means nothing?

25          A.    Could be, yes.

1           Q.    Doctor Osterman, another doctor with  
2            MHMRA who saw him at the jail, also diagnosed  
3            him as malingering; is that correct?

4           A.    I don't recall his evaluation.

5           Q.    Actually this is probably where it  
6            says impression. There. If it will help you.  
7           It's quicker.

8           A.    Yes, he does mention malingering on  
9           this.

10          Q.    That's his diagnosis. Diagnosis  
11          impression: Malingering, adjustment disorder,  
12          depressed mood; right?

13          A.    Yes.

14          Q.    Okay. Doctor Silverman, I guess  
15          you're aware, has diagnosed him as a malingerer;  
16          is that right?

17          A.    That's what I understand.

18          Q.    You're also aware that Doctor Brown  
19          has also diagnosed him as a malingerer?

20          A.    Yes.

21          Q.    Luis Pena, another psychologist at  
22          MHMRA, also diagnosed him as malingering; did  
23          you know about that?

24          A.    No, I didn't know about that.

25          Q.    The last line on this page, dated

1 1/10/94, he suspected malingering; is that  
2 correct?

3 A. I think he did. Yes, I see it at the  
4 bottom. It was hard to read it because you had  
5 the page.

6 Q. Okay. It wouldn't surprise you, then,  
7 if Luis Pena were willing to come in today to  
8 testify that he in fact thinks that this  
9 defendant is malingering; is that correct?

10 A. No.

11 Q. You wouldn't be surprised by that?

12 A. No.

13 Q. So, overall, there are ten doctors or  
14 psychologists who have seen the defendant at the  
15 jail, and out of those people the only one who  
16 thinks that he has something other than  
17 malingering is yourself; is that right?

18 A. As far as I know, yes.

19 Q. I want to talk to you about your one  
20 interview with the defendant. Am I right about  
21 that, you had one interview?

22 A. That's correct.

23 Q. Okay. That was an hour long?

24 A. Yes.

25 Q. All right. And these people have

1                   spent, you agree with me, days and weeks and  
2                   months looking at this defendant to be sure that  
3                   they were right about their diagnosis?

4                   A.    I'm sure ample time to organize their  
5                   behavioral observations and make those records  
6                   of those behavioral observations.

7                   Q.    Certainly more than an hour?

8                   A.    Yes.

9                   Q.    Okay. Now, you didn't do any kind of  
10                   physical test to be able to tell this jury that  
11                   there is something wrong with his brain?

12                   A.    No.

13                   Q.    So, as far as you know, organically  
14                   his brain is the same as yours or mine?

15                   A.    I'm making that assumption one way or  
16                   another from the language of data.

17                   Q.    Well, you didn't test it?

18                   A.    No.

19                   Q.    You're not a physician?

20                   A.    Correct.

21                   Q.    Okay. So your testimony would be,  
22                   that because of some personal or social or  
23                   interpersonal difficulty or maladjustment,  
24                   something has caused him to act peculiar?

25                   A.    Regardless of the cause or the

1 factors, the patient's behavior makes it not  
2 possible to do a valid psychological evaluation  
3 or to coherently communicate with him in a way  
4 where he understands what's going on.

5 Q. Okay. The point is that whatever it  
6 is, it's not physical, or at least you can't  
7 testify that it is physical?

8 A. I don't know whether it would be  
9 physical or what. I don't have opinion, in  
10 absence of medical data supplied from the  
11 record.

12 Q. You don't know what may have caused  
13 whatever he had?

14 A. No indication from medical records of  
15 any organic problem from the physical  
16 examination, at least recorded in the medical  
17 records, nor sufficient data from psychological  
18 records as data base for concluding about a  
19 mental illness, the presence or absence of it.

20 Q. Okay. Psychologists are not the only  
21 people who treat people who have mental illness;  
22 is that right?

23 A. Yes.

24 Q. Psychologists are the only ones?

25 A. No, they are not. There are other

1 disciplines.

2 Q. Okay. So there's psychiatrists and  
3 therapists and counselors and different people  
4 can see people with mental illness; is that  
5 right?

6 A. Yes.

7 Q. And that's different from a physician  
8 where, if somebody is physically ill, they can  
9 only go to a physician?

10 A. That's essentially correct.

11 Q. You don't perform surgery; is that  
12 right?

13 A. No.

14 Q. What is psychology, real briefly?

15 A. Psychology is the science of basic  
16 human behavior and also basic science that is  
17 behind the practice of dealing with mental and  
18 emotional illness or any kind of form of human  
19 behavior, from school behavior to behavior at  
20 the work place to behavior involving emotional  
21 and mental problems.

22 Q. What's your diagnosis of Mr. Eldridge?

23 A. I don't have a mental health diagnosis  
24 because of the absence of adequate data to form  
25 a conclusion in that regard.

1 Q. You don't know what's wrong with him?

2 A. I don't know what his diagnosis is.

3 It's my impression that he is not mentally  
4 competent to deal with the issues of either an  
5 evaluation or deal with an issue where he could  
6 communicate adequately with his attorneys or  
7 other adults.

8 Q. But you don't know what's wrong with  
9 him?

10 A. It would be speculation to say what's  
11 wrong with him at this point.

12 Q. So you don't even know if he has got a  
13 mental illness or a mental disease?

14 A. In the absence of the information from  
15 the medical records which I surveyed and the  
16 absence of psychological testing, a complete  
17 psychiatric status report, I can't conclude one  
18 way or the other.

19 Q. Well, you mentioned the records a  
20 couple of times, but I want to clarify something  
21 real quickly. Did you take any notes when you  
22 were seeing him?

23 A. Yes.

24 Q. Did you bring the notes with you  
25 today?

1 A. Yes.

2 Q. Can I please see them?

3 A. Sure.

4 Q. And you, in fact, made a report, you  
5 have a doctor's handwriting, that's all there is  
6 to it. You, in fact, I can't read these. You  
7 in fact made a report that you gave to the  
8 defense lawyers; is that right?

9 A. Yes.

10 Q. Let me hand you a copy of the report.  
11 She gave it to me yesterday morning. Just to  
12 make sure that this is the report that you  
13 filed. Is this in fact your report?

14 A. Yes.

15 Q. Okay. And that's one, two, three  
16 pages long; is that right?

17 A. Yes.

18 Q. Okay. I had an opportunity to read  
19 this report. When you made this -- when did you  
20 make the report?

21 A. February -- the report was probably  
22 dictated I think the same day that I assessed  
23 the patient, February 16th, 1994.

24 Q. Okay. And when you made that report,  
25 you had not read any of the records regarding

1 Mr. Eldridge for his visits at the jail?

2 A. That's correct.

3           Q. Okay. And had you talked to any of  
4        those ten doctors or psychologists who had been  
5        treating him for a number of months, or seeing  
6        him, rather. Treating is probably not the right  
7        word, but seeing him over at the jail over a  
8        number of months?

9 A. No, I did not.

10 Q. Did you read the offense report in  
11 this case? Before you made this report, did you  
12 read the offense report?

13                   A. I don't recall whether that was among  
14 my records.

15 Q. Okay. Did you review his high school  
16 records?

17 A. NO.

18. Did you review his work records?

19 A. No.

20 Q. Did you talk to any of the people who  
21 knew him, such as a friend of his that he grew  
22 up with. John Scott?

23 A. NO.

24 Q. Did you talk to a friend of his who  
25 knew him, close friend, from I think it was '89

1 or '90 up until early '93?

2 A. No.

3 Q. By the name of Darrell Obey?

4 A. No.

5 Q. Okay. Did you talk to -- let me see  
6 here, an old employer of his by the name of  
7 Steve Dement?

8 A. No.

9 Q. Okay. Did you talk to Doctor  
10 Silverman?

11 A. No.

12 Q. Doctor Brown?

13 A. No.

14 Q. Luis Pena?

15 A. No.

16 Q. Doctor Robashkin?

17 A. No.

18 Q. Doctor Ferguson?

19 A. No.

20 Q. Any of his family, his mother, his  
21 brother or anybody else?

22 A. No, although I have a letter from his  
23 mother, Mattie Wade.

24 Q. Okay. Can I see that?

25 A. Sure.

1           Q.    But you did not personally speak with  
2        his mother?

3           A.    No, I did not.

4           Q.    So my understanding is that you  
5        formed, just to make this clear, your  
6        evaluation, your report that you made, in that  
7        report you diagnosed him as incompetent; right?

8           A.    That's correct.

9           Q.    Okay. And you made that diagnosis  
10       without the benefit of talking to any of those  
11       people that were available to you; is that  
12       right?

13          A.    Yes.

14          Q.    Would you agree with me that it would  
15       be more prudent to at least get all of the  
16       records on him from other mental health  
17       personnel, talk to friends who know him, have  
18       known him, maybe read the offense report, maybe  
19       do all of these other things before coming to a  
20       conclusion?

21          A.    It's helpful to get all that  
22       information, although you have reliant behavior  
23       to reach conclusions.

24          Q.    But certainly that would have been a  
25       better practice than just seeing him and jumping

1 to a conclusion of incompetent?

2 A. Well, it's always helpful to get a lot  
3 of information, background information,  
4 especially if you're making a diagnosis. One  
5 can conclude, based on your immediate  
6 information, whether or not the patient at that  
7 time is functioning in a competent manner.

8 Q. Right. We'll talk about your  
9 interview of him in just a minute, but at least  
10 you would agree with me that there were many  
11 other sources of information out there besides  
12 the defendant that you chose not to use before  
13 coming to your conclusion?

14 A. Yes.

15 Q. Okay. Right now you've got, I guess,  
16 some kind of psychology practice?

17 A. Yes.

18 Q. Okay. And you treat people for what  
19 kind of illnesses?

20 A. A variety of illnesses.

21 Q. Okay. Those people are not charged  
22 with crimes; are they?

23 A. No.

24 Q. Would you agree with me that a person  
25 who is not charged with a crime has much less

1 motive to fake it than somebody who might have a  
2 motive who is charged with a crime?

3 A. Yes.

4 Q. Okay. Are you appointed by district  
5 court judges to determine people's competency?

6 A. NO.

7 Q. Okay. So you would be hired privately  
8 by the defense lawyers or the State; is that  
9 right?

10           A.    I primarily am appointed in family law  
11           to assess the mental status of adults and  
12           children.

13 Q. Okay. But that's different from a  
14 competency hearing before a criminal district  
15 judge to decide the legal standard of  
16 competency; is that right?

17           A.    Although the legal issues are  
18           different, the psychological database is similar  
19           and you're still dealing with the ability of the  
20           patient to communicate effectively and deal with  
21           reality and understand reality, so there are  
22           similar variables in both cases.

23 Q. But the bottom line is that district  
24 court judges in criminal cases do not appoint  
25 you as an expert for their cases; is that

1 right?

2 A. That's correct.

3 Q. You said that you in the past, let's  
4 say, three years, how many times have you  
5 decided that somebody was competent?

6 A. I don't recall dealing with the  
7 competency issue directly in the last three  
8 years. Maybe in one or two cases, that's about  
9 it.

10 Q. Okay. And of those cases, how many  
11 times in the last three years did you find they  
12 were competent?

13 A. In every case I found they were  
14 competent except this one.

15 Q. Okay. So two times they were  
16 competent, one time they were incompetent?

17 A. Yes.

18 Q. Okay. And that's three times that  
19 you've looked at the competency issue within the  
20 last three years?

21 A. Yes.

22 Q. Okay. Are there differences in  
23 examining a patient who comes to your office  
24 seeking treatment versus somebody who is charged  
25 with a crime?

1           A. Yes.

2           Q. And why is that?

3           A. Patient coming to your office for  
4           treatment is voluntarily coming to you and,  
5           therefore, probably has a more positive attitude  
6           about the assessment.

7           Q. Okay. Are there any other reasons why  
8           somebody charged with a crime would be treated  
9           differently than somebody who is not charged  
10           with a crime?

11           A. Actually, on the part of the  
12           psychologist, it shouldn't be any difference in  
13           the actual treatment of the patient, whether  
14           it's a prisoner or family law person or a  
15           patient, in terms of the way the psychologist  
16           treats the patient.

17           Q. Well, I understand that's your  
18           position. You're a psychologist, obviously you  
19           are not going to treat the patient differently.  
20           My question has to deal with individual motive.  
21           Wouldn't there be differences in motive for  
22           somebody who is just coming in to see you as a  
23           patient in your private practice versus somebody  
24           who is in the jail charged with a crime?

25           A. Yes. I assume that a patient who is

1 charged with a crime will try to give a better  
2 impression to the psychologist or has more  
3 vested interest giving a better impression than  
4 somebody who is just coming as a patient.

5 Q. Better impression meaning what?

6 A. It could mean a number of different  
7 things.

8 Q. What?

9 A. It could mean everything from that  
10 they're innocent of a crime to that they weren't  
11 responsible in whatever happened to them. In  
12 other words, that they weren't coherent or they  
13 weren't rational in the process of committing  
14 the crime.

15 Q. All right. So a possible motive for  
16 somebody who you see in jail might be that they  
17 don't want to be tried for a case?

18 A. I would assume that nobody would want  
19 to be tried for a case.

20 Q. So that's a possible motive; right?

21 A. Yes, I would assume that, right.

22 Q. It's also a possible motive they might  
23 try to convince you that they're too crazy to  
24 stand trial.

25 A. That's always a possibility.

1 Q. Or that, if they did it, that they  
2 didn't know what they were doing when they did it?

3 A. That's always a possibility.

4 Q. And that's different from patients who  
5 you see in private who don't have those kinds of  
6 motives?

7 A. Generally, yes.

8 Q. So you'd agree with me that a criminal  
9 defendant certainly has a motive to lie?

10 A. I would assume that a criminal  
11 defendant would have a motive to falsify  
12 whatever they do compared to a patient in  
13 private practice.

14 Q. They have a motive to lie?

15 A. Yes.

16 Q. To falsify. I'm trying to stick to  
17 the simple word, just lie, if we can. All  
18 right.

19 You talked about your interview with  
20 the defendant. Generally speaking, so we can  
21 know a little bit about Mr. Eldridge, if Mr.  
22 Eldridge wanted a glass of water, could he ask  
23 for a glass of water?

24 A. It's possibly he could, yes.

25 Q. Well, the --

1           A.    Probably.

2           Q.    Are there records in there to show  
3           that when he wanted headache medicine if he had  
4           a headache he was able to ask the nurses for it?

5           A.    I would assume that he could.

6           Q.    Okay. That he got I think it was  
7           Mylanta because he was complaining of stomach  
8           pains. So he was able to articulate his needs  
9           at least to the nursing staff; right?

10          A.    Yes.

11          Q.    And when he wanted to use the phone,  
12          would it surprise you that he was able to use  
13          the phone?

14          A.    No.

15          Q.    To make a collect call?

16          A.    No.

17          Q.    He could do that, or he couldn't do that?

18          A.    Even if somebody is decompensated,  
19          there are periods where they're more lucid than  
20          other times, so it's quite possible that during  
21          times when he was more lucid, he could make a  
22          long distance call.

23          Q.    So you would agree he could make a  
24          telephone call collect?

25          A.    I would agree that if he was in a more

1       lucid state than some of the observations that I  
2       made with him in the evaluation session.

3       Q.     You talked about the fact, that when  
4       you first went to see him, that you saw him over  
5       at the jail on the sixth floor?

6       A.     Yes.

7       Q.     Right? And he is not normally housed  
8       at that particular location that you did your  
9       examination in; is that right?

10      A.    I don't know that information.

11      Q.    Okay. Well, was it a cell for inmates  
12      or was it a different type of room?

13      A.    No, it was a room with a glass in  
14      between yourself and the prisoner where you  
15      could talk clearly through that partition.

16      Q.    Oh, I see. So you weren't even in the  
17      same room as him?

18      A.    No.

19      Q.    Was it at the visitation area at the  
20      jail, is that what you're talking about?

21      A.    No, no.

22      Q.    All right. But you're talking about  
23      you're sitting here in one area, then there is a  
24      glass?

25      A.    Yes.

1           Q.    Then he is sitting on the other side  
2        of the glass?

3           A.    Yes, that's correct.

4           Q.    So you were totally separated from him  
5        by a piece of glass?

6           A.    Yes.

7           Q.    All right. What kind of glass is  
8        that?

9           A.    I really don't know.

10          Q.    Okay. And you said that at one point,  
11        well, I mean, is it a whole wall of glass or is  
12        it just half a glass?

13          A.    No, it's a whole wall of glass.

14          Q.    Okay. You said, that when you first  
15        saw him, he was mumbling to himself?

16          A.    Yes.

17          Q.    Okay. Where were you when that  
18        occurred?

19          A.    I was getting ready to enter my part  
20        of the room, he was already seated, and I could  
21        see him through the glass partition.

22          Q.    All right. The part of the room that  
23        he was in, there was no bed there, was there?

24          A.    No.

25          Q.    So you could assume, then, he is not

1 normally in that particular room?

2 A. Yes.

3 Q. All right. So that he was brought to  
4 that room?

5 A. Yes.

6 Q. All right. And, so, he knew that he  
7 was there to see somebody. Is that a fair  
8 enough statement? I mean, he was moved from his  
9 cell to this visitation room?

10 A. Ordinarily I would assume that; but,  
11 because of the level of comprehension he showed  
12 with me, I'm not sure what he was thinking about  
13 being in that room.

14 Q. Assume for the sake of argument that  
15 he was faking it, just for about a minute, and  
16 he was brought from his cell to an interview  
17 room to see somebody. If he was faking it, he  
18 would know somebody was there to visit him.

19 A. If he was aware, he would know that,  
20 yes.

21 Q. I am sorry, if he was aware, he would  
22 know somebody was there. So, assuming that he  
23 was faking it, he would know somebody would be  
24 coming in and looking through that window to see  
25 if he was there?

1           A.    That's entirely possible.

2           Q.    Okay. So, you don't know if, when he  
3            was mumbling, he in fact was expecting you to be  
4            there or wasn't expecting you to be there,  
5            assuming that he was faking it?

6           A.    No, I don't know.

7           Q.    Okay. You said that he was mumbling  
8            but that you couldn't hear what he was saying.

9           A.    Correct.

10          Q.    Do you know what he was saying or do  
11          you not know what he was saying?

12          A.    I don't know.

13          Q.    Was he speaking out loud as if he was  
14          seeing somebody or just kind of moving his lips?

15          A.    He was speaking as if he was talking  
16          to himself.

17          Q.    Okay. Have you done that before?

18          A.    Probably not out in public.

19          Q.    Well, he wasn't in public; was he?

20          A.    Well, it depends again on how he  
21          perceived what was going on in that situation.

22          Q.    But he was -- you agree with me he was  
23          not in public?

24          A.    In a sense, yes, except if you define  
25          public not being totally by yourself and you

1 expect one other person, then I would say there  
2 was a public dimension there.

3 Q. He was in a room by himself; right?  
4 And there was nobody else on the other side of  
5 the partition with him?

6 A. Correct.

7 Q. All right. So he was private, or as  
8 private as you're going to be at the Harris  
9 County jail.

10 A. Yes.

11 Q. Okay. How long did you observe him  
12 through the door?

13 A. I really don't know exactly how long.  
14 It was not a long time.

15 Q. Well, I mean, are we talking seconds  
16 or minutes or hours or what?

17 A. Oh, it could be two or three minutes.

18 Q. Minutes? Did you time yourself?

19 A. No.

20 Q. I mean, you'd agree with me, you have  
21 enough background in psychology, that sometimes  
22 people say it feels like three minutes but it's  
23 like seconds?

24 A. True.

25 Q. Okay. And you didn't time yourself to

1 know just how long?

2 A. No. I could have been wrong on the  
3 time.

4 Q. All right. You also said that you  
5 attempted to do testing on the defendant?

6 A. Yes.

7 Q. Okay. What kinds of tests did you  
8 want to do on him?

9 A. I wanted to give him projective tests,  
10 for one.

11 Q. Do they have names?

12 A. Yes.

13 Q. What are the names?

14 A. The Rorschach and the TAT.

15 Q. Okay. And from your review of the  
16 records, at least two different times during his  
17 stay at the jail they tried to give him tests;  
18 isn't that right?

19 A. I don't recall reading about attempts  
20 to give him tests.

21 Q. Okay. Let me have you look at Luis  
22 Pena's notes. Hope I give you the right ones.

23 MS. CRAWFORD: Excuse me, Your Honor.  
24 Your Honor, may we approach for a minute?

25 THE COURT: Yes, ma'am.

(Off the record bench conference)

2 BY MS. ALCALA:

3           Q.    Would it surprise you to know that  
4   when they tried to give him the M.M.P.I. -- is  
5   that one that you tried to give him?

6 A. No, I didn't get that far.

7           Q.     Okay, that he said he needed his  
8           glasses and couldn't see to do the test?

9 A. NO.

10 Q. And then he said he couldn't read; he  
11 couldn't do it because he couldn't read?

12 A. Yes.

13 Q. Would that surprise you?

14 A. NO.

15 Q. Would it surprise you then that Luis  
16 Pena then tried to give him the test verbally,  
17 but that he wouldn't respond to what Luis Pena  
18 was asking him? Would that surprise you?

19 A. No.

20 Q. And that when he asked him what  
21 mechanics meant, he said he didn't know what  
22 that meant?

23 A. No, I'm not surprised.

24 Q. Okay. And would it surprise you that  
25 Luis Pena said he appeared oppositional?

1                   A. No, that's often the feeling an  
2                   examiner gets when the patient, for whatever  
3                   reason, can't cooperate with you.

4                   Q. And that Luis Pena at that point says  
5                   there is no evidence of psychosis?

6                   A. Yes, because the patient wasn't giving  
7                   him any information that would indicate that he  
8                   was psychotic at that time.

9                   Q. That was on December 29, 1993.

10                  A. Yes.

11                  Q. So, that every time they tried to test  
12                  him, he had one excuse or another, whether it be  
13                  he couldn't see because he didn't have glasses  
14                  or he couldn't read or he couldn't hear and  
15                  didn't understand words and refused to be  
16                  tested.

17                  A. These are behaviors often classified  
18                  impotency. The patient is unaware or not really  
19                  competent to do a job, so they make excuses.

20                  Q. And you weren't able to test him  
21                  either?

22                  A. No, I was not.

23                  Q. How did he refuse your testing?

24                  A. He didn't refuse directly. He was  
25                  cooperative to the extent I think he could be

1           cooperative. He was unable to comprehend the  
2           instructions and make enough contact with the  
3           examiner so that there could be adequate  
4           communication to deal with the issues so he  
5           could be examined.

6           Q.     What was he doing or saying that led  
7           you to believe that he couldn't be tested?

8           A.     He was unable to understand the  
9           instructions that were given him, he was unable  
10           to stay focused long enough to be able to  
11           connect with an instruction given so he could  
12           respond from his mind, which means he  
13           comprehends what you say long enough to be able  
14           to understand what you were saying to get it  
15           through his head and then be able to, thirdly,  
16           perform in a coherent, competent manner. He was  
17           unable to do this with attempts made over the  
18           approximately an hour of time with many  
19           different types of approaches to the patient.

20           Q.     I guess I understand what you're  
21           telling me, but this is what I'm trying to find  
22           out from you, what did he do? Those obviously  
23           weren't his words, right, what you just told me?

24           A.     No.

25           Q.     Okay. What I'm trying to find out is,

1 when you tried to give him this test, is the  
2 test in writing or oral or what?

3 A. I couldn't go as far as written test  
4 because it was very apparent, with experience in  
5 working with disturbed people, that they could  
6 not get off the ground to get to a written test  
7 like the M.M.P.I., they could not begin to  
8 understand a Rorschach instruction. He could  
9 barely understand the very rudimentary things  
10 such as what's two plus two, what's three plus  
11 three and some very basic, simple vocabulary  
12 words. It was very difficult for him to give a  
13 coherent idea of who his family members were or  
14 where he was, what year it was, what place it  
15 was, who his attorney was. He was unable, after  
16 careful examination, to give that kind of  
17 information. If you don't get that information,  
18 you can't go beyond to a legitimate  
19 psychological, psychiatric evaluation of the  
20 patient.

21 Q. Okay. But I guess what you haven't  
22 told me, what I'm trying to find out, is how did  
23 he refuse the testing? What did he do? Did he  
24 say I don't want to do it? Did he not respond?

25 A. I'm trying to help you and I'm trying

1 to answer your question, but there is the  
2 assumption that he refused, and I do not believe  
3 he refused to cooperate, I think he did try to  
4 the best of his ability to the extent he was  
5 competent to cooperate with his examiner  
6 throughout the hour. And I think his behaviors  
7 were predominantly in the direction of a patient  
8 that tried to cooperate but was incompetent to  
9 carry out any part, even the simplest part of  
10 the examination procedures.

11 Q. This test was in writing or oral?

12 A. The tests were given orally, although  
13 some were given in written form because he found  
14 it easier to add two plus two when he could see  
15 it written out than if he just heard it from me,  
16 but this is just a very beginning of a mental  
17 status examination. The patient was not  
18 coherent enough to go further with even a mental  
19 status examination interview.

20 Q. All right. Maybe we can break it down  
21 simple. You spent an hour with him?

22 A. Yes.

23 Q. Okay. First two or three minutes you  
24 say you look through a window; right?

25 A. Yes.

1 Q. What happened next?

2 A. Then I attempted to begin an interview  
3 with the patient, and --

4 Q. Tell me about that interview. What  
5 did you do in the interview? What did you say,  
6 what did he say?

7 A. I tried to explain to him who I was.

8 Q. Okay.

9 A. That I was a psychologist assigned to  
10 assess him, I knew his attorney, and we really  
11 didn't really successfully get beyond that point  
12 because he really couldn't understand who I was,  
13 what my role was.

14 Q. Let me stop you right there. What did  
15 he do or say to make you think that he didn't  
16 understand?

17 A. It was a combination of what he said  
18 and didn't say by content. He didn't show any  
19 verbal content recognition that he understood my  
20 message. What he did say was somewhat  
21 irrelevant to the subject.

22 Q. What?

23 A. His verbal, nonverbal behavior also,  
24 because that's part of the response, is his  
25 behavior, not just what he says, what he doesn't

1 say, was inappropriate to the discussion. He  
2 seemed to be unable to be able to make verbal  
3 contact, he seemed to be lost in his own  
4 thoughts by his mannerisms, by his lack of eye  
5 contact, by the sometimes mutterings that were  
6 tangential to the issue.

7 Q. So he wasn't responding to what you  
8 were saying?

9 A. He was responding but he wasn't  
10 responding in a coherent manner.

11 Q. Can you give me an example?

12 A. Beyond what I just finished giving  
13 you, there were other examples where he didn't  
14 know what year it was, what the date was, what  
15 the charges were against him, who his attorney  
16 was, and so forth. There were numerous examples.

17 Q. All right. So he claimed he didn't  
18 know who you were?

19 A. My impression was he didn't understand  
20 who I was.

21 Q. He claimed he didn't know who his  
22 lawyer was?

23 A. He didn't verbalize a specific  
24 message, content-wise, to say he didn't know who  
25 I was. That was my impression from lack of

1 communication, understandable that is from his  
2 part.

3 Q. He didn't know what year it was?

4 A. No.

5 Q. What other questions did you ask him  
6 that he didn't know?

7 A. Simple questions about what  
8 psychological evaluation is. He didn't seem to  
9 understand.

10 Q. That's not how you asked it; is it?

11 A. No.

12 Q. What question did you ask him?

13 A. I really don't recall the exact  
14 content of what I asked him.

15 Q. What other questions did you ask him?

16 A. Questions to see if he could  
17 understand just simple, everyday information  
18 such as the month of the year, how to add two  
19 plus two; and when there was a breakdown in that  
20 area, I simply tried to spend sometime with him  
21 to relax him to the extent he could be more at  
22 ease with me, talk about anything that was  
23 comfortable to him, such as his family and his  
24 brothers, so there was some discussion in that  
25 area as well.

1 Q. Okay. Did he know who his family was?

2 A. He claimed to have three brothers,  
3 Michael, Anthony and David that took care of  
4 him, so he was more comfortable in talking about  
5 the family issues.

6 Q. Did he mention his brother Barry?

7 A. No.

8 Q. Did he know who his mother was?

9 A. I don't know. I don't have comment on  
10 that. I assume that he did, but I don't really  
11 have evidence from what he said.

12 Q. You didn't ask him that?

13 A. No.

14 Q. Okay. Did he know what city he was in?

15 A. I didn't ask him what city he was in.

16 Q. Did you ask him if he knew the name of  
17 his lawyer?

18 A. Yes.

19 Q. Did he know the name of his lawyer?

20 A. No.

21 Q. Did you ask him about whether he has  
22 ever had mental illness or treatment before?

23 A. No. That was too advanced for him to  
24 really comprehend during that session.

25 Q. When you testified during direct you

1 basically said three things led you to your  
2 conclusion that he was incompetent to stand  
3 trial. That his association was not relevant to  
4 the topic; is that right?

5 A. That's one of the bits of behavior.

6 Q. The flat affect and that you didn't  
7 feel connected with him. Are those the three  
8 things you mentioned?

9 A. That and the entire flow of the  
10 session where he really couldn't comprehend  
11 communication adequately enough to show a  
12 competent mental state.

13 Q. You would agree with me, with regards  
14 to your first prong, that the association was  
15 not relevant to the topic, you would at least  
16 agree with me that somebody could intentionally  
17 be nonresponsive?

18 A. It's always possible.

19 Q. Well, so you would agree with me that  
20 it's possible for someone to be intentionally  
21 nonresponsive?

22 A. Yes, it's possible to pull that off.

23 Q. So if you ask me a question, you know,  
24 where were you born, and I said the sun is pink,  
25 I could intentionally be nonresponsive.

1 A. Yes.

2 Q. And that would be one of the prongs  
3 that you would use to decide whether somebody is  
4 incompetent?

5 A. Yes.

6 Q. And I could choose to intentionally be  
7 nonresponsive or I could, without control, be  
8 intentionally nonresponsive.

9 A. It's possible, yes.

10 Q. Your next prong was that you felt that  
11 he had a flat -- is it affect? That's the word  
12 you all use?

13 A. Yes.

14 Q. In that he had no emotion or was  
15 withdrawn.

16 A. Yes.

17 Q. If I wanted to appear as though I had  
18 no emotion or withdrawn, I could sit here and  
19 look down and not respond to anything; right?

20 A. It's possible, right.

21 Q. And my doing so would meet your  
22 definition of having a flat affect in having no  
23 emotion and being withdrawn?

24 A. No, the flat affect is when you do  
25 express yourself but your emotion doesn't have

1 any animation to it, it's very flat in the way  
2 it comes across.

3 Q. And somebody couldn't do something  
4 like that if they wanted to, doctor?

5 A. It's possible if they have very good  
6 acting skill to carry it on over an hour.

7 Q. Sure, particularly if they had  
8 practice over the last year?

9 A. It's always possible.

10 Q. So you would agree with me that  
11 somebody could intentionally appear to be  
12 withdrawn and have no emotion and have those  
13 skills to try to appear as though they have no  
14 interaction?

15 A. It's possible.

16 Q. You also used as your third prong that  
17 he had -- that based on his posture and eye  
18 movement, that you didn't feel connected with  
19 him?

20 A. It was a detached, withdrawn demeanor  
21 or appearance, yes.

22 Q. All right. Same thing. I mean, if  
23 I've been sitting in the jail for one year  
24 practicing, I could know how to avoid eye contact.

25 A. It's possible.

1           Q. I mean, if I wanted to sit here and  
2 not look at you, avoid eye contact, you might  
3 feel withdrawn from me.

4           A. It's possible.

5           Q. And I might be faking it or it might  
6 be for real; right?

7           A. Yes.

8           Q. Okay. So, your three prongs, you  
9 would agree with me, all could be faked by  
10 somebody who wanted to fake it?

11          A. It's always possible.

12          Q. If they wanted to. And that you don't  
13 necessarily know as a psychologist whether  
14 that's somebody who is doing a great job of  
15 faking it or somebody who genuinely has a mental  
16 problem.

17          A. There is always a possibility of being  
18 fooled.

19          Q. But you'd agree with me that your  
20 three prongs all could be faked by somebody who  
21 wanted to fake it?

22          A. In the sense that there is always that  
23 possibility, yes.

24          Q. So they could be?

25          A. Yes.